

RESEARCH ARTICLE

Association of PET-based stages of amyloid deposition with neuropathological markers of A β pathology

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Abstract

Objective: To determine if PET-based stages of regional amyloid deposition are associated with neuropathological phases of A β pathology. **Methods:** We applied data-driven regional frequency-based and a-priori striatum-based PET staging approaches to ante-mortem 18F-Florbetapir-PET scans of 30 cases from the Alzheimer's Disease Neuroimaging Initiative autopsy cohort, and used Bayesian regression analysis to study the associations of these in vivo amyloid stages with neuropathological Thal phases of regional A β plaque distribution and with semi-quantitative ratings of neocortical and striatal plaque densities. **Results:** Bayesian regression revealed extreme evidence for an association of both PET-based staging approaches with Thal phases, and these associations were about 44 times more likely for frequency-based stages and 89 times more likely for striatum-based stages than for global cortical 18F-Florbetapir-PET signal. Early (i.e., neocortical-only) PET-based amyloid stages also predicted the absence of striatal/diencephalic cored plaques. Receiver operating characteristics curves revealed highly accurate discrimination between low/high Thal phases and the presence/absence of regional plaques. The median areas under the curve were 0.99 for frequency-based staging (95% credibility interval 0.97–1.00), 0.93 for striatum-based staging (0.83–1.00), and 0.87 for global 18F-Florbetapir-PET signal (0.72–0.98). **Interpretation:** Our data indicate that both regional frequency- and striatum-based amyloid-PET staging approaches were superior to standard global amyloid-PET signal for differentiating between low and high degrees of regional amyloid pathology spread. Despite this, we found no evidence for the ability of either staging scheme to differentiate between low and moderate degrees of amyloid pathology which may be particularly relevant for early, pre-clinical stages of Alzheimer's disease.

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aData used in preparation of this article were obtained from the Alzheimer's Disease Neuroimaging Initiative (ADNI) database (adni.loni.usc.edu/). As such, the investigators within the ADNI contributed to the design and implementation of ADNI and/or provided data but did not participate in analysis or writing of this report. A complete listing of ADNI investigators can be in Appendix S1.

Introduction

Global signal increase in amyloid-sensitive PET has become a disease-defining biomarker in research criteria for Alzheimer's disease (AD).^{1,2} A range of clinico-pathological association studies have established that global increase in amyloid-sensitive PET signal reflects the cerebral accumulation of A β pathology; this was observed for all currently available amyloid PET tracers, including 11C-PiB,³ 18F-Florbetaben,⁴ 18F-Flutemetamol,⁵ and 18F-Florbetapir.⁶ Few studies went beyond global assessment of amyloid PET signal. One study assessed correlations of Thal phases⁷ and CERAD neuritic plaque scores with 11C-PIB-PET signal in 18 bilateral cortical regions, striatum, and hippocampus.⁸ However, the highest regional associations observed in that study⁸ were comparable to the association with the standard global composite 11C-PIB-SUVr value, arguing against a marked benefit of region-specific PET signal for measuring pathologic amyloid burden. Motivated by long-standing neuropathological evidence

for a progression sequence of amyloid pathology from neocortical to diencephalic areas, another study generated two different stages of 18F-Flutemetamol uptake based on thresholded cortical and striatal amyloid signal in 97 cases.⁹ This study found that these amyloid PET stages were associated with the regional distribution of A β accumulation as assessed by the neuropathological Thal phases. One shortcoming of this previous study, however, was that the cut-offs defining the neocortical vs striatal PET amyloid stages were derived in reference to the Thal phases which likely induced some circularity in the analysis.

A similar a priori classification of amyloid PET scans into two different stages based on whether amyloid PET signal was increased in the neocortex alone or additionally affected the striatum was also proposed by a previous study using regional cut-offs established in independent cohorts, and thus without reference to an external gold standard.¹⁰ Alternative approaches to stage amyloid PET scans used hierarchical classification of different cortical and subcortical brain regions according to data-driven

models of regional amyloid spread based on the frequency of regional signal increases in the PET data.^{11–14} Details of the different staging schemes can be found in Table S1. Currently, the correspondence of such PET-defined in vivo stages of regional amyloid deposition with autopsy-derived measures of amyloid burden, including the Thal phases, has not yet been established.

Here, we used region-based stagings^{10,11} of amyloid PET data not biased by reference to amyloid pathology stages from neuropathological examination to determine if amyloid PET assessments were associated with the neuropathological distribution of amyloid pathology as captured by Thal phases and CERAD grading of neuritic and diffuse plaque density. We tested this question in a patient sample from the Alzheimer's Disease Neuroimaging Initiative (ADNI) cohort with available ante-mortem 18F-Florbetapir-PET scans and post-mortem neuropathological examination. Differing from the previous study,⁹ the PET-based amyloid stagings used here were unbiased with respect to the autopsy findings. The regional frequency-based staging had been established before in a large sample of normal controls from the ADNI cohort¹¹ and replicated in an independent sample of subjective memory complainers from the INSIGHT-preAD cohort.¹⁵ The striatum-based staging had been derived from two independent cohorts, the Harvard Aging Brain Study and ADNI.¹⁰ In a secondary analysis, we determined whether PET-based amyloid staging would discriminate between neocortical and striatal/diencephalic amyloid load, based on the notion that striatal and diencephalic involvement in amyloid pathology as defined by the Thal phases occurs only after neocortical involvement.⁷ With this study, we tested the hypothesis that PET-based in vivo amyloid stages would be associated with Thal phases and other neuropathological measures of progressive amyloid burden and that this association would be stronger than for standard global 18F-Florbetapir-PET signal. Specifically, we were interested in this approach to determine if amyloid PET stages were able to differentiate between lower phases of amyloid deposition. We chose to use a Bayesian framework to test this hypothesis because this framework allowed us to explicitly compare the likelihood of different alternative hypotheses and to directly estimate the likelihood of the presence or the absence of an effect.

Material and Methods

Data source

Data used in the preparation of this article were obtained from the ADNI database (<http://adni.loni.usc.edu/>). The ADNI was launched in 2003 by the National Institute on

Aging, the National Institute of Biomedical Imaging and Bioengineering, the Food and Drug Administration, private pharmaceutical companies, and non-profit organizations, with the primary goal of testing whether neuroimaging, neuropsychologic, and other biologic measurements can be used as reliable in vivo markers of AD pathogenesis. A complete description of ADNI and up-to-date information is available at www.adni-info.org.

Standard protocol approvals, registrations, and patient consents

All procedures performed in the ADNI studies involving human participants were in accordance with the ethical standards of the institutional research committees and with the 1964 Helsinki declaration and its later amendments. Written informed consent was obtained from all participants and/or authorized representatives and the study partners before any protocol-specific procedures were carried out in the ADNI studies.

Study participants

We retrieved the last available 18F-Florbetapir-PET scans of 30 ADNI subjects who had come to autopsy between 2007 and 2017. Detailed inclusion criteria for the ante-mortem diagnostic categories can be found at the ADNI web site (<http://adni.loni.usc.edu/methods/>). Cognitively normal (CN) subjects had MMSE scores between 24 and 30 (inclusive), a CDR = 0, were non-depressed, non-MCI, and non-demented, and reported no subjective memory concerns. MCI subjects had MMSE scores between 24 and 30 (inclusive), a subjective memory concern reported by subject, informant, or clinician, objective memory loss measured by education-adjusted scores on delayed recall, a CDR = 0.5, the absence of significant levels of impairment in other cognitive domains, essentially preserved activities of daily living, and an absence of dementia. All MCI cases were classified as amnesic MCI according to the ADNI guidelines. At inclusion into the ADNI cohort, subjects with AD dementia had initial MMSE scores between 20 and 26 (inclusive), a CDR = 0.5 or 1.0 with impaired activities of daily living and fulfilled NINCDS-ADRDA criteria for clinically probable Alzheimer's disease.¹⁶

Neuropathological assessments

All neuropathological evaluations in the ADNI cohort are performed through the central laboratory of the ADNI neuropathology core (<http://adni.loni.usc.edu/about/#core-container>). They assess a wide range of AD and non-AD neuropathological lesions, including both established

neuropathologic criteria as well as detailed regional assessments within 22 cortical and subcortical brain regions.¹⁷ The neuropathological procedures follow previously established guidelines¹⁸ that are captured in the format of the Neuropathology Data Form Version 10 of the National Alzheimer Coordinating Center (<https://www.alz.washington.edu/NONMEMBER/NP/npform10.pdf>).

Here, we used established rating scales for AD neuropathologic change represented by Thal amyloid phases. In addition, we used the data from the CERAD scores of neuritic plaques, and an analogous scoring of diffuse plaques. In addition, we examined semi-quantitative neuropathological rating scores of diffuse and cored amyloid plaques in the striatum and thalamus as well as in the following neocortical regions: superior temporal gyrus, anterior cingulate gyrus, medial frontal gyrus, and inferior parietal lobule. To analyze the differential association of PET-based amyloid stages with striatal/diencephalic and neocortical amyloid plaque scores, we used median values across striatum/thalamus (striatum/diencephalic score) and across the four neocortical regions (neocortical score), as well as binarizations thereof where a median of 0 was rated as 0 and a median above 0 was rated as 1.

Imaging data acquisition

Detailed acquisition and standardized preprocessing steps of ADNI imaging data are available at the ADNI website (<https://adni.loni.usc.edu/methods/>). Amyloid-PET data were collected during a 50- to 70-min interval following a 370 MBq bolus injection of 18F-Florbetapir. To account for the multicentric acquisition of the data across different scanners and sites, all PET scans undergo standardized preprocessing steps within ADNI.

For anatomical reference and preprocessing of the PET scans, we used the corresponding structural MRI scan that was closest in time to the Florbetapir PET scan. MRI data were acquired on multiple 3T MRI scanners using scanner-specific T1-weighted sagittal 3D MPRAGE sequences. Similar to the PET data, MRI scans undergo standardized preprocessing steps aimed at increasing data uniformity across the multicenter scanner platforms (see <https://adni.loni.usc.edu/methods/> for detailed information on multicentric MRI acquisition and preprocessing in ADNI).

Imaging data preprocessing

Images were preprocessed using Statistical Parametric Mapping software version 12 (SPM12) (The Wellcome Trust Centre for Neuroimaging, Institute of Neurology, University College London) implemented in Matlab 2019.

MRI images were segmented into different tissue types and spatially normalized to a customized aging/AD-specific reference template¹⁹ using the CAT12 toolbox. The preprocessing pipeline for the amyloid PET images followed the routine as previously described.¹¹ First, each subject's averaged PET frames were co-registered to their corresponding T1-weighted MRI scan. Then, partial volume effects (PVE) were corrected in native space using the three-compartmental voxel-based post-reconstruction method as described by Müller-Gärtner and colleagues.^{20,21} The corrected PET images were spatially normalized to an aging/AD-specific reference template using the deformation parameters derived from the normalization of their corresponding MRI.

Regional PVE corrected 18F-Florbetapir-PET mean uptake values were estimated for 52 brain regions defined by the Harvard-Oxford structural atlas,²² including both cortical and subcortical regions (<https://fsl.fmrib.ox.ac.uk/fsl/fslwiki/Atlases>). Standard uptake value ratios (SUVR) were computed for the 52 brain regions by dividing the mean uptake values by the mean uptake value of the whole cerebellum as estimated in non-PVE-corrected PET data.^{11,21,23,24} Similarly, global cortical SUVR values were obtained as a ratio of mean cortical uptake values extracted from PVE-corrected images using a composite cortical mask including frontal, parietal, and temporal areas to the mean uptake of the whole cerebellum.

We based the cut-off used for determining regional amyloid positivity on a cut-off value of SUVR = 1.135,¹¹ which lies in between the two most widely used global signal cut-offs for non-PVE-corrected 18F-Florbetapir-PET SUVRs, that is, SUVR = 1.10^{6,25,26} and SUVR = 1.17.^{27,28} This threshold was converted to the PVE-corrected PET data used for the regional staging approach using linear regression between PVE-corrected and non-corrected global SUVR values, which resulted in a value of SUVR = 1.04.

PET data analysis

Frequency-based staging of regional amyloid deposition, henceforth called *frequency staging*, followed the previously developed four-stage model of amyloid pathology progression derived from 18F-Florbetapir-PET data of cognitively normal older individuals enrolled in the ADNI study.¹¹ This four-stage model was estimated by counting the frequency of amyloid positivity across the 52 brain regions defined in the Harvard-Oxford structural atlas and then merging the regions into four broader anatomical divisions based on equal proportions of the observed range of involvement frequencies. The four anatomical divisions defining the staging scheme are illustrated in Figure 1. According to this staging approach,¹¹ an

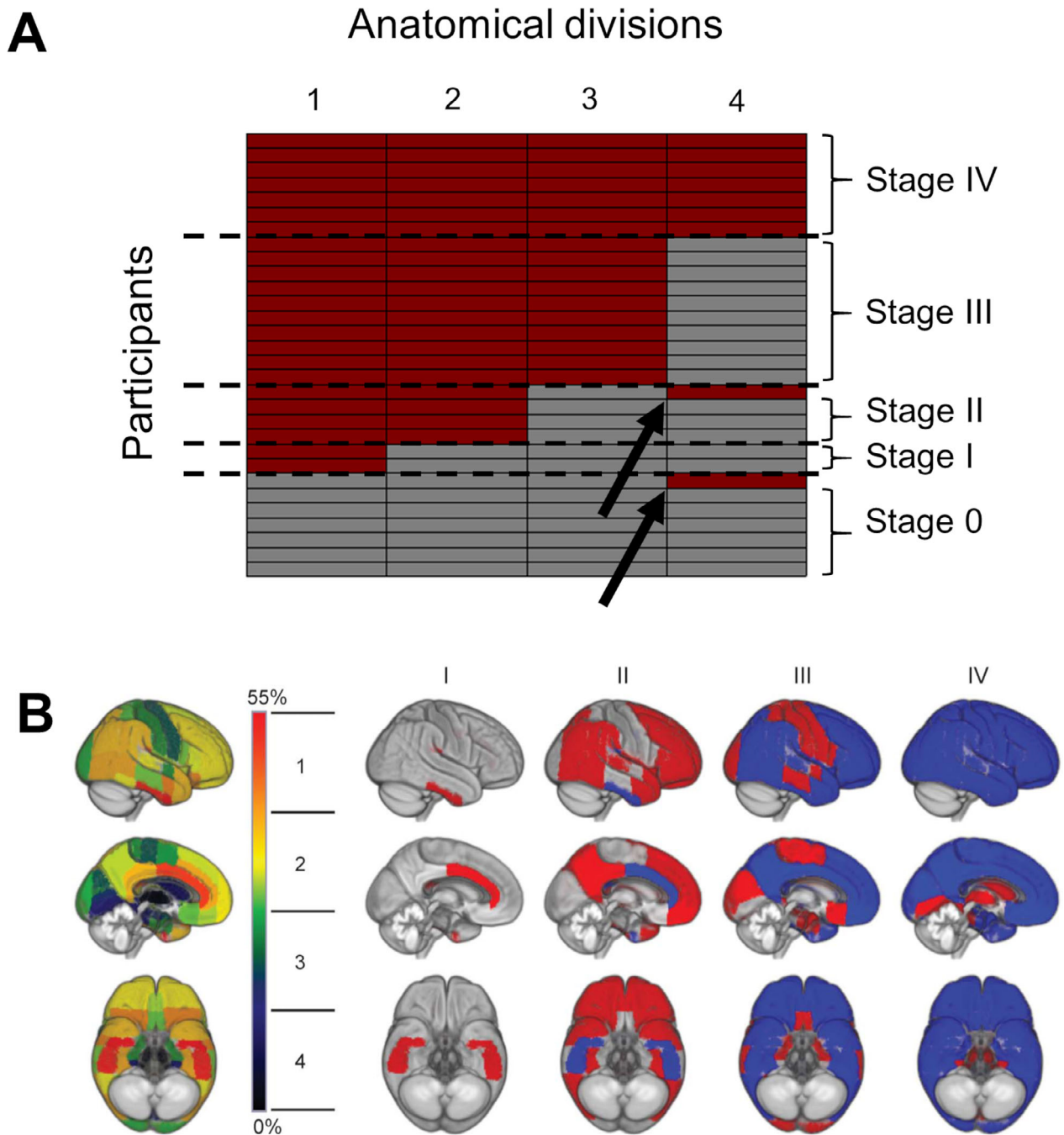


Figure 1. PET-based frequency amyloid stages across cases. (A) The matrix demonstrates participants (in rows) and their amyloid positivity with respect to each of the four anatomical divisions (in columns) depicted by red. The two non-stageable cases are indicated by arrows. (B) Brain renderings on the left illustrate the frequency of regional amyloid positivity across individuals on a color scale from black/blue (lowest) to yellow/red (highest). The 52 brain regions are merged into four larger anatomic divisions based on equal partitions of the frequency range (1–4). In the resulting four-stage model of regional amyloid progression (I–IV), incremental stages are defined by the involvement of higher numbered anatomic divisions (in red), in addition to the affected areas of the previous stage (blue). Part B is republished with permission of Wolters Kluwer Health, Inc. from Grothe, M. J., Barthel, H., Sepulcre, J., Dyrba, M., Sabri, O., & Teipel, S. J. (2017). In vivo staging of regional amyloid deposition. *Neurology*, 89(20), 2031–2038, permission conveyed through Copyright Clearance Center, Inc.

anatomical division was considered positive for amyloid pathology if at least 50% of the regions included in this division exceeded the cut-off value in the respective participant. Subsequently, participants were classified as stage I if only the first division was considered positive. Then, the successive stages II-IV were defined by the additional involvement of their corresponding divisions II, III, and IV, respectively. Participants who exhibited amyloid positivity in any division without concurrent amyloid positivity in the preceding divisions were classified as non-stageable (mismatch).

For comparison, we also used the a priori two-stage approach based on neocortical versus striatal involvement proposed by¹⁰ using the above-defined regional cut-off for cortical composite score and striatum positivity, respectively. This staging approach henceforth is called *striatal staging*.

Statistics

We used *Bayes factor (BF) hypothesis testing* to compare one or more alternative hypotheses against the null hypothesis (i.e., the assumption that there is no association between amyloid measures and neuropathologic markers, H_0). This approach allowed us to reject the null hypotheses and accept the best possible hypotheses of our data, a desirable advantage over classical null hypothesis significance testing with P -values which only allows the rejection or acceptance of the null hypotheses.^{29,30} We used *Jeffreys' Amazing Statistics Program* (JASP Version 0.11.1), available at jasp-stats.org, to calculate models. JASP provides an easy to use interface to R libraries for Bayesian statistics. We report the Bayes Factor (BF_{10}) quantifying evidence against the null hypotheses, as well as the BF_M indicating the informativeness of our data given the prior ($P(M)$) and posterior distributions ($P(M|data)$). In addition, we report estimates of regression coefficients with corresponding credibility intervals (also called the highest density interval), providing the probability that the population parameters lie between the particular upper and lower bounds. This is different from the confidence interval from classical null hypothesis statistical testing which indicates the probability that the parameter will fall between the lower and upper bound when samples are repeatedly drawn from the underlying population.³¹ Table 1 provides a short description of the Bayesian parameters that are reported here. To address potential issues with non-normally distributed residuals in the multiple regressions, we applied Markov–Monte Carlo chain sampling to each analysis 1000 times. JASP was set to report the null model first, and then compare all other models against the null model. We used the JASP

default JZS prior. Within this framework, we conducted two sets of multiple linear regression models.

First, we determined the associations between PET-derived measures of amyloid burden (PET-based frequency and striatal staging as well as global PVC SUVR values) with neuropathologic measures of amyloid burden (Thal phase, CERAD scores), taking age, sex, and distance between PET scanning and death into account. For a post hoc analysis, arising from an inspection of the data, we binarized Thal phases using a split at ≥ 4 as outcome and determined the areas under the receiver operating characteristics curves (AUC) for amyloid stages and global PVC SUVR values, respectively. AUC values were calculated using Bayesian parametric estimation as adapted for small sample sizes using the function “*auc.para.bayes*” in the R package “*auRoc*.”³⁵

Second, we determined the associations of PET-based amyloid stages and global PVC SUVR values with median cored and diffuse plaque scores in striatal/diencephalic and neocortical regions, respectively, using Bayesian estimation of Kendall's Tau correlation coefficient in JASP. AUC for the striatal and neocortical cored and diffuse plaque scores as binary outcomes (absent/present) were also determined.³⁵

We applied the following evidence categories: a BF_{10} above 3 provides “substantial evidence,” a BF_{10} above 10 provides “strong evidence,” a BF_{10} above 30 provides “very strong evidence,” and a BF_{10} above 100 provides “extreme evidence” against the null model. $BF_{10} < 1/3$ indicates support for the null model.³⁴

Table 1. Summary of the reported Bayesian Statistics

Abbreviation	Full Name	Interpretation	Ref.
BF	Bayes Factor	Quantifies evidence	30,32
BF_{10}	BF in favor of the best model	Our data are BF_{10} times more likely under the best H_1 compared to H_0	33
BF_M	Degree to which the data have changed the prior model odds	The larger, the more informative our data have been	30
$P(M)$	Prior (distribution)	Assumed distribution prior to data analysis	30,34
$P(M data)$	Posterior (distribution)	Distribution after the prior has been updated with our data	30,34
95%-CI	Credibility interval	Parameter lies within the lower and upper bounds with 95% probability.	

Results

Demographics and PET staging characteristics

Detailed demographics of the sample of 30 cases with available ante-mortem 18F-Florbetapir-PET scans are given in Table 2. Of the 30 available amyloid PET scans, two could not be classified according to the previously established frequency staging scheme (Fig. 1). None of the 30 cases were in striatal amyloid stage zero, nine were in striatal amyloid stage one, that is, neocortical but no striatal amyloid uptake, and 21 were in stage two, that is, neocortical and striatal amyloid uptake. The distribution of Thal phases across the frequency stages, striatal stages, and global PVC SUVR values is shown in Figure 2.

Thal phases versus PET-based amyloid stagings and global SUVR

We found extreme evidence for an association of frequency and striatal amyloid stages with Thal phases, and strong evidence for global PVC SUVR (Table 3). Effects were similar for the outcome of CERAD neuritic plaques and diffuse plaques scores, with extreme evidence for an association with PET-based amyloid stages and strong evidence for global PVC SUVR values (data not shown). The effect on Thal phases was about 44 times more likely for cortical frequency stages ($BF_{10} = 729/16.6$) and about 89 times more likely for striatal stages ($BF_{10} = 4385/16.6$) than for the model with global PVC SUVR (Table 3). The model with striatal stages was about six times more likely than the model with cortical frequency stages ($BF_{10} = 4385/729$).

Table 2. Sample characteristics at time of last 18F-Florbetapir-PET scan.

Clinical diagnosis ¹	Sex	Age at death [years]	Interval PET to death [years]	Global PVC SUVR	Frequency stages	Striatum-based stages	Thal Phase	Neuritic Plaque score	Diffuse Plaque score
CN1	f	83	5.00	2.19	3	1	4	2	3
CN2	m	89	1.20	1.59	n.s.	2	0	0	0
CN3	f	84	0.50	1.43	1	1	0	0	0
CN4	m	70	3.50	2.00	0	1	1	0	1
CN5	f	84	2.20	1.15	0	1	3	0	2
MCI1	m	91	2.40	1.60	2	1	4	0	3
MCI2	m	80	2.00	2.46	4	2	4	1	3
MCI3	m	86	0.90	2.59	3	2	5	3	3
ADD1	m	81	1.90	1.48	0	1	1	1	1
ADD2	m	77	3.80	1.18	0	1	1	0	1
ADD3	f	77	0.30	2.25	3	2	4	3	3
ADD4	m	91	2.10	1.29	0	1	1	0	1
ADD5	m	93	4.30	1.59	0	1	1	0	1
ADD6	m	93	1.60	4.73	4	2	4	1	3
ADD7	m	76	1.50	1.87	2	2	5	3	3
ADD8	m	89	2.00	2.18	2	2	4	2	3
ADD9	m	91	4.00	2.36	3	2	4	3	3
ADD10	f	80	0.60	1.29	1	2	5	3	3
ADD11	m	59	3.50	3.46	3	2	5	3	3
ADD12	m	88	1.10	1.92	n.s.	2	4	3	3
ADD13	m	78	2.00	4.24	4	2	5	3	3
ADD14	f	95	3.70	3.77	4	2	4	3	3
ADD15	m	83	1.80	2.69	3	2	4	3	3
ADD16	m	77	1.70	2.16	3	2	4	3	3
ADD17	m	84	1.50	3.09	3	2	5	3	3
ADD18	f	76	1.80	2.29	4	2	4	3	3
ADD19	f	84	2.60	2.60	4	2	4	3	3
ADD20	m	81	1.70	2.46	3	2	5	0	3
ADD21	m	86	2.50	3.20	4	2	5	3	3
ADD22	m	78	5.00	3.39	3	2	4	3	3

CN, Control; MCI, mild cognitive impairment; ADD, Alzheimer's disease dementia; f/m, female/male; n.s., non stageable.

¹Last clinical diagnosis before death

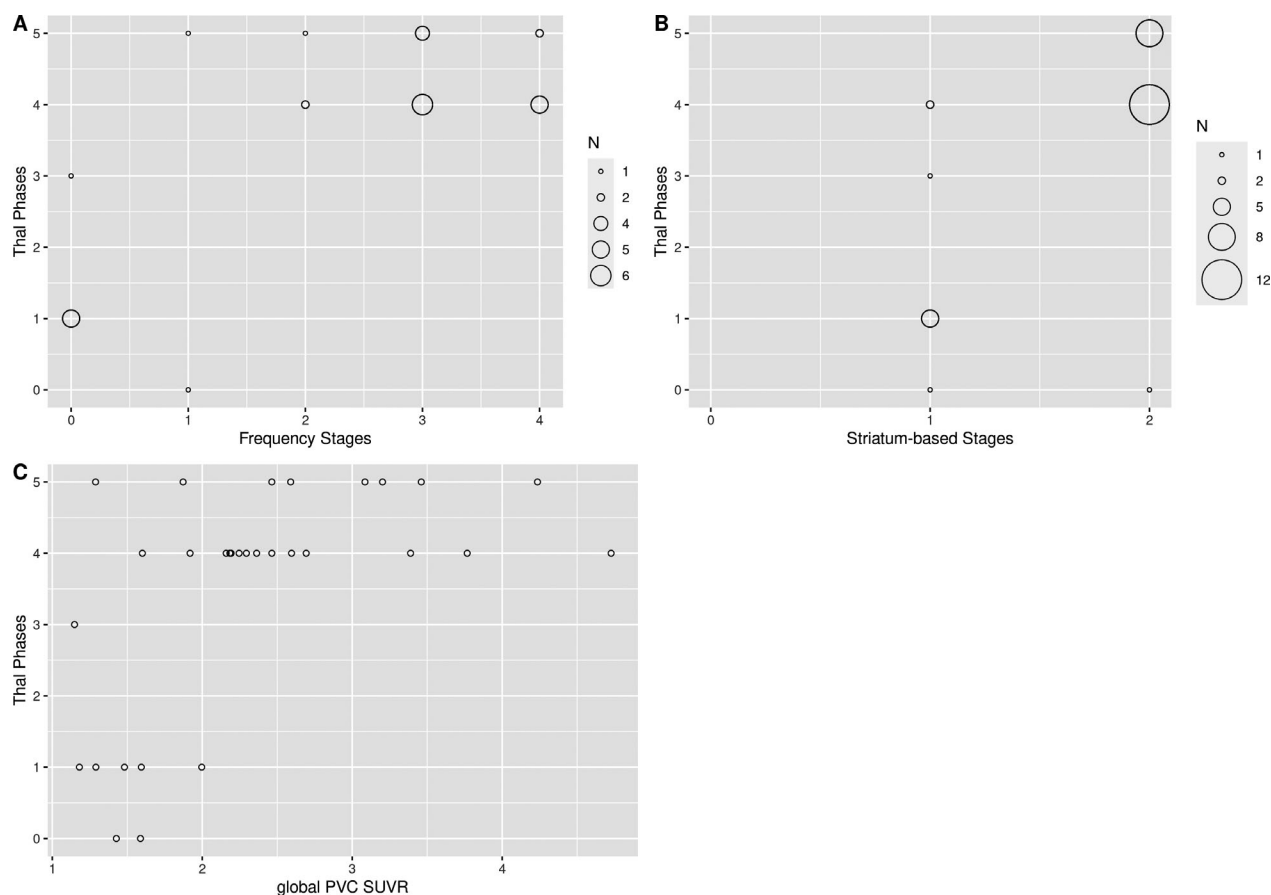


Figure 2. Distribution of Thal phases across amyloid stages and global PVC SUVR values. Thal phases plotted against PET-based frequency (A) and striatal (B) amyloid stages and global PVC SUVR values (C), with the size of the circles corresponding to the number of underlying cases.

Table 3. Thal phases versus amyloid stagings and global SUVR.

Models	P(M)	P(M data)	BF _M	BF ₁₀	R ²
Null model (incl. age, sex, PET to death)	0.250	2.3e-4	6.9e-4	1.000	0.058
Frequency stages	0.083	0.056	0.647	729.117	0.585
Striatum-based stages	0.083	0.334	5.520	4384.512	0.655
Global PVC SUVR	0.083	0.001	0.014	16.590	0.373

The visual inspection of the distribution of Thal phases across the stages and global PVC SUVR values (Fig. 2) suggested that the associations with PET-based amyloid stages and global PVC SUVR values reflected the differences between Thal phases 0 to 3 versus 4 to 5 rather than a homogeneous internal differentiation across all Thal phases. This was further supported by a *post hoc* analysis arising from this observation: Median AUC for high versus low Thal phases predicted by PET-based frequency stages was 0.99 (95-CI: 0.97–1.00), 0.93 (95-CI:

0.83–1.00) for striatal stages, and 0.87 (95-CI: 0.72–0.98) for global PVC SUVR values.

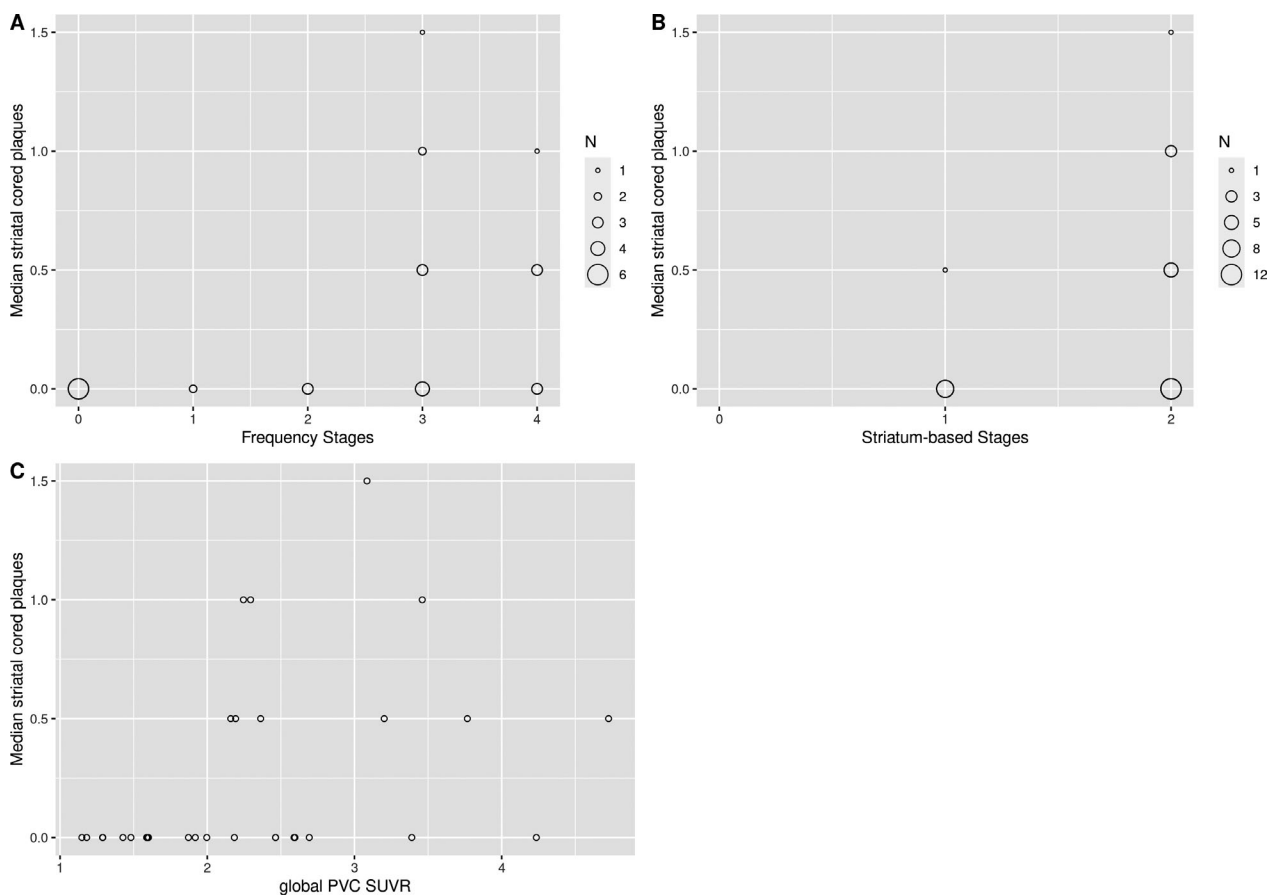
Neocortical and striatal/diencephalic plaque scores versus PET-based amyloid stagings and global SUVR

Table 4 shows the associations between median regional plaque scores and PET-based amyloid stagings and global SUVR. As expected, all associations were positive. Visual inspection of the in vivo amyloid stages by striatal/diencephalic and neocortical plaque scores revealed that a PET-based frequency stage below 3 (indicating neocortical-only involvement, see Fig. 1) predicted the absence of striatal/diencephalic cored plaques (Fig. 3) and low likelihood of striatal/diencephalic diffuse plaques (Fig. S1). For neocortical plaques, the association appeared less pronounced, because diffuse plaques were also present at PET-based frequency stage 0, although there were no neocortical cored plaques (Fig. S2), and in general the likelihood of neocortical plaques was lower with lower PET-

Table 4. Associations between PET-based amyloid stagings and neocortical and striatal amyloid plaque scores.

			Kendall's tau	95-CI: lower – upper	
Frequency stages	vs.	Striatum/dienceph. median CP	0.410	0.126	0.607
Frequency stages	vs.	Striatum/dienceph. median DP	0.569	0.269	0.739
Frequency stages	vs.	Neocortex median CP	0.631	0.323	0.788
Frequency stages	vs.	Neocortex median DP	0.669	0.357	0.818
Striatum-based stages	vs.	Striatum/dienceph. median CP	0.309	0.046	0.511
Striatum-based stages	vs.	Striatum/dienceph. median DP	0.611	0.317	0.772
Striatum-based stages	vs.	Neocortex median CP	0.553	0.267	0.723
Striatum-based stages	vs.	Neocortex median DP	0.673	0.371	0.818
Global SUVR	vs.	Striatum/dienceph. median CP	0.366	0.098	0.563
Global SUVR	vs.	Striatum/dienceph. median DP	0.551	0.265	0.721
Global SUVR	vs.	Neocortex median CP	0.563	0.275	0.731
Global SUVR	vs.	Neocortex median DP	0.569	0.281	0.737

CP, cored plaque score; DP, diffuse plaque score.

**Figure 3.** Distribution of striatal cored plaques across amyloid stages and global PVC SUVR values. Median striatal cored plaques plotted against PET-based frequency (A) and striatal (B) amyloid stages and global PVC SUVR values (C), with the size of the circles corresponding to the number of underlying cases.

based frequency stages (Fig. S3). Similarly, striatal cored plaques were almost excluded and diffuse plaques were less likely at PET-based striatal stage 1 (indicating only neocortical amyloid PET signal, Fig. 3, and Fig. S1).

To formally test these impressions, we determined AUC under the ROC curves for PET-based stagings and global PVC SUVR values predicting the binary outcome of the absence or presence of striatal/diencephalic and

neocortical cored and dense plaques, respectively. Median AUC values were on average higher for PET-based frequency stages than for striatal stages and global PVC SUVR values, but 95% credibility intervals overlapped with each other (Fig. 4).

Discussion

Consistent with our expectation, we found that PET-based amyloid stages, derived from either regional frequency-based or a priori striatum-based staging schemes, were associated with neuropathologic Thal phases of regional A β distribution as well as with CERAD ratings of neuritic and diffuse plaque densities. This association was approximately 44 times more likely for frequency stages and 89 times more likely for striatal stages than the association of neuropathologically-assessed amyloid burden with global PVC 18F-Florbetapir-PET signal. In addition,

we found that lower PET-based amyloid stages (indicating neocortical-only involvement) were associated with lower numbers of striatal and diencephalic cored plaques, although the credibility intervals overlapped with those from global PVC SUVR values in these analyses. Interestingly, the PET-based frequency staging was not inferior to the striatal staging for discriminating between the absence and presence of striatal/diencephalic cored and diffuse amyloid plaques.

The finding of a much stronger association of PET-based amyloid stages than global SUVR values with the distribution of A β pathology as captured by the Thal phases, as well as CERAD ratings of neuritic and diffuse plaque density, agrees with one previous study.⁹ However, different to this previous study which determined neocortical vs striatal amyloid PET stages based on correspondence with Thal phases, we used unbiased approaches where the frequency staging was derived from a data-

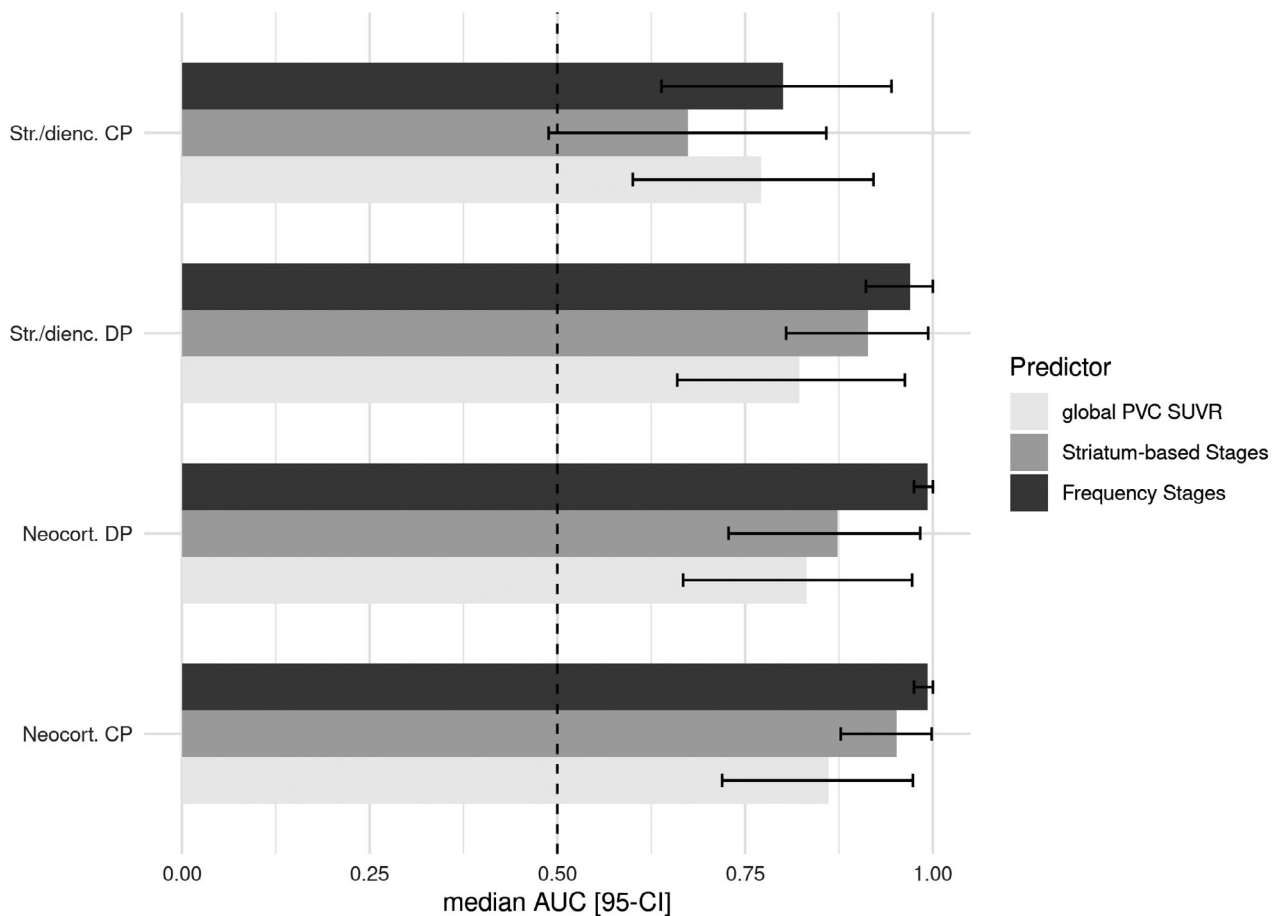


Figure 4. Areas under receiver operating characteristic curves and 95% credibility intervals. Median and 95% credibility interval (95-CI) for areas under the receiver operating characteristic curves (AUC) for PET-based frequency and striatal amyloid stages, and global PVC SUVR values predicting the binary outcomes of the presence or absence of striatal/diencephalic (Str./dienc.) and neocortical (neocort.) cored plaques (CP) and diffuse plaques (DP), respectively. The vertical red line indicates an AUC of 0.5, representing random guessing accuracy.

driven analysis of independent amyloid PET data from ADNI controls and the INSIGHT-preAD cohort,^{11,15} and the striatal staging had previously been defined in two independent cohorts as well.¹⁰ Despite the strong evidence for a superior association of PET-based amyloid stages with neuropathologic Thal phases, the visual inspection of the data distribution, and our *post hoc* analysis of AUC values arising from it, indicated that this effect was mainly driven by a high degree of discrimination between lower Thal phases (3 and smaller) versus higher Thal phases (phases 4 and 5). Due to relatively high global cortical uptake in all cases, PET-based striatal stages could only take one of two values (neocortical with and without additional striatal involvement) so that a differentiation among lower level Thal phases was not to be expected. Also, one has to note that numbers of early Thal phases were very low in this clinical autopsy cohort, thus preventing conclusive analyses on the association between amyloid PET signal and early Thal phases in this dataset. Our findings support a previous study of 35 cases where a global cut-off for 11C-PIB-PET positivity was associated with the binary distinction between low to moderate (0–2) versus high (3–5) Thal phases.³⁶ In addition, one previous clinico-pathological comparison study in a subsample of the data from 9 likewise reported that global levels of 18F-Flutemetamol were able to discriminate between low to moderate versus high Thal phases in 68 cases.³⁷ This previous and our current findings also agree with our earlier observation that higher versus lower PET-based frequency stages were able to discriminate between clinically stable versus clinically progressive cognitively normal individuals and MCI patients, and that the prediction accuracy was higher for the PET-based amyloid stages than for the global amyloid PET signal.³⁸ At the same time, in our current study we could not identify a clear advantage of one PET-based staging scheme over the other. The fit of regression parameters for the association with Thal phases was six times more likely for the striatal than for the frequency staging. However, the estimates of accuracy for predicting low versus high Thal phases were numerically higher for frequency than for striatal staging, possibly also related to the small range of values for the striatal staging.

Consistent with their association with the Thal phases, PET-based frequency stages 2 and smaller (indicating selective neocortical amyloid signal, see Fig. 1) were associated with the absence of striatal and diencephalic cored plaques. According to the Thal staging such plaques are only present at Thal phases 3 and higher,⁷ representing a more advanced stage of cerebral amyloidosis that also associates with neocortical tau pathology and clinical dementia.³⁹ Increased levels of striatal and diencephalic amyloid binding in PET have been found associated with

a higher likelihood of clinical dementia^{40–43} and striatal signal in amyloid PET imaging using 18F-Flutemetamol was found to be associated with post-mortem levels of striatal amyloid plaques.⁴⁴ These observations served as the basis for an in vivo staging of amyloid PET data based on the absence or presence of striatal amyloid binding.¹⁰ Remarkably, the accuracy of PET-based frequency stages to predict the absence or presence of striatal and diencephalic cored and diffuse plaques was not only numerically higher than the accuracy of global PVC SUVR values, but also than the accuracy of striatum-based stages. This indicates that a staging mainly driven by involvement differences across distinct cortical regions was not inferior to a staging explicitly taking striatal involvement into account to detect striatal and diencephalic A β pathology. This is consistent with a previous study which investigated this association from an opposite viewpoint: in ten patients undergoing frontal cortex biopsy sampling during intracranial surgery, the presence of frontal lobe amyloid plaques was associated not only with increased 11C-PIB-PET signal in frontal, parietal, and lateral temporal cortices, but also in the striatum.⁴⁵ Our results also concur with a study of 73 cases with global amyloid positivity in 11C-PIB-PET that showed a high correlation between striatal and cortical 11C-PIB binding particularly in orbitofrontal regions,⁴⁶ consistent with the transition from frequency stage 2 to 3 (see Fig. 1).

In conclusion, our data indicate that amyloid stages derived from PET data, either taking a binary or more detailed sequence of neocortical to striatal involvement into account, were more strongly associated with neuropathological phases of amyloid deposition than more standard global amyloid PET signal. However, neither metric could accurately discern specific neuropathologically defined phases. This is in contrast to one previous study⁹ that was, however, based on a biased estimate of PET amyloid stages using knowledge from the Thal phases. As a maximum claim, PET-based in vivo amyloid stages appeared to allow more accurate discrimination between high versus low-to-moderate stages of amyloid neuropathology compared with standard global levels of amyloid PET signal.

A caveat to our work is that the number of cases in the current analysis was small, particularly for lower Thal phases, and that our AUC analysis was post hoc after seeing the data. Related to this, here we made use of retrospectively available neuropathological scoring data. Although the ADNI data are very well-curated, errors in the data cannot completely be excluded. As an example, case ADD20 (Table 2) showed Thal phase 5 with frequent diffuse amyloid plaques, but lack of neuritic plaques. Albeit theoretically possible, such a constellation may

appear unlikely so that some transmission error of the data from the neuropathological examination to its entry into the ADNI data repository may seem possible. To check the relevance of the effect, here we conducted a sensitivity analysis, leaving the case ADD20 out of the analyses. The results remained essentially unchanged. Still, given the small number of cases and the retrospective design of the analysis, a final conclusion would require replication of our findings in a larger cohort. As another limitation, one should note that our findings relate only to 18F-Florbetapir-PET and other tracers with other binding properties may yield different results. Taking further confounds into account, such as comorbid TDP-43 or Lewy body pathology, would have strengthened our results. However, the small number of cases prohibited further stratification of the sample. Of note, we combined quantitative analysis of PET data with semiquantitative ratings of neuropathologic data. Using data at different scales such as continuous SUVR values versus ordinal frequency-based or striatal stages may influence the fit of the model as reflected in the Bayes factors. However, one would not anticipate that the scale of the predictors always has the same effect on Bayes factor, that is, an ordinal scaled predictor is not expected to necessarily lead to a more plausible model than a continuous scaled predictor. In the current dataset, there was no case with a global SUVR value below the commonly used cutoff, rendering a binarization of the continuous SUVR values unfeasible. Future studies should go beyond semiquantitative and regionally limited pathology frequency scores, such as available in the ADNI neuropathology core, and strive to use unbiased quantitative approaches for both PET and neuropathology. We are aware that Bayesian analysis is still not widely used in clinico-pathological research. Still, we think that the beauty of Bayesian analysis is its ability to directly compare likelihood of data under different models and to directly quantify the degree of evidence for the presence or absence of an effect. This is particularly relevant for the analysis of small samples where the interpretation of a non-significant finding in a classical null hypothesis significance testing framework is almost impossible due to a high degree of type 2 error.

In summary, we used neuropathologically unbiased approaches to demonstrate that in vivo stages of regional amyloid deposition in PET were associated with Thal phases of the regional distribution of amyloid pathology, as well as with neuropathologic ratings of neuritic and diffuse plaque density, and the presence or absence of striatal/diencephalic cored and diffuse amyloid plaques. We found strong evidence that the association with neuropathologic assessments was stronger for PET-based in vivo amyloid stages, both based on an a priori model of neocortical versus striatal amyloid PET signal as well as

a cortically more detailed regional frequency model, than for global PVC SUVR values. However, despite these stronger effects our findings challenge the notion of a previous study⁹ that amyloid PET stages would allow internal differentiation between lower-level Thal phases, a finding that may have been confounded by a biased estimation of the amyloid PET stages. Thus, if replicated in larger cohorts, our data suggest that regional frequency- and striatum-based amyloid PET stages are useful to differentiate between low to moderate and high levels of regional amyloid pathology as captured by the Thal phases, and that low PET-based amyloid stages can indicate the absence of striatal and diencephalic cored plaques, which have been linked to clinico-pathologic AD progression. We could, however, not identify a clear advantage of one staging scheme over the other.

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Conflicts of Interest

SJT participated in scientific advisory boards of Roche Pharma AG and MSD, and received lecture fees from Roche and MSD. AGMT, FL, MD, and MJG declare no conflicts of interest.

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Supporting Information

Additional supporting information may be found online in the Supporting Information section at the end of the article.

Table S1. PET staging schemes.

Figure S1. Distribution of striatal diffuse plaques across amyloid stages and global PVC SUVR values.

Figure S2. Distribution of neocortical cored plaques across amyloid stages and global PVC SUVR values.

Figure S3. Distribution of neocortical diffuse plaques across amyloid stages and global PVC SUVR values.

Appendix S1. Acknowledgement list for ADNI contributors.