

## RESEARCH ARTICLE

# Copy Number Variation and Haplotype Analysis of 17q21.31 Reveals Increased Risk Associated with Progressive Supranuclear Palsy and Gene Expression Changes in Neuronal Cells

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**ABSTRACT: Background:** The 17q21.31 region with various structural forms characterized by the H1/H2 haplotypes and three large copy number variations (CNVs) represents the strongest risk locus in progressive supranuclear palsy (PSP).

**Objective:** To investigate the association between CNVs and structural forms on 17q21.31 with the risk of PSP.

**Methods:** Utilizing whole genome sequencing data from 1684 PSP cases and 2392 controls, the three large CNVs ( $\alpha$ ,  $\beta$ , and  $\gamma$ ) and structural forms within 17q21.31 were identified and analyzed for their association with PSP.

**Results:** We found that the copy number of  $\gamma$  was associated with increased PSP risk (odds ratio [OR] = 1.10,  $P$  = 0.0018). From H1 $\beta$ 1 $\gamma$ 1 (OR = 1.21) and H1 $\beta$ 2 $\gamma$ 1 (OR = 1.24) to H1 $\beta$ 1 $\gamma$ 4 (OR = 1.57), structural forms of H1 with additional copies of  $\gamma$  displayed a higher risk for PSP. The frequency of the risk sub-haplotype H1c rises from 1% in individuals with

two  $\gamma$  copies to 88% in those with eight copies. Additionally,  $\gamma$  duplication up-regulates expression of *ARL17B*, *LRR37A/LRR37A2*, and *NSFP1*, while down-regulating *KANSL1*. Single-nucleus RNA-seq of the dorsolateral prefrontal cortex analysis reveals  $\gamma$  duplication primarily up-regulates *LRR37A/LRR37A2* in neuronal cells.

**Conclusions:** The copy number of  $\gamma$  is associated with the risk of PSP after adjusting for H1/H2, indicating that the complex structure at 17q21.31 is an important consideration when evaluating the genetic risk of PSP. © 2025 The Author(s). *Movement Disorders* published by Wiley Periodicals LLC on behalf of International Parkinson and Movement Disorder Society.

**Key Words:** progressive supranuclear palsy; H1 and H2 haplotypes; 17q21.31; copy number variations; single-cell gene expression

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Progressive supranuclear palsy (PSP) is a neurodegenerative disease characterized by the accumulation of tau in the brain along with symptoms such as postural

instability and ocular motor abnormalities.<sup>1-3</sup> Despite a number of other loci identified through association studies in the last decade,<sup>4-7</sup> the 17q21.31 of human

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genome, which presents two haplotypes H1 and H2 (distinguished by a ~1 Mb inversion, Figure S1A in Data S1), remains the most prominent genetic risk factor for PSP. The *MAPT* gene, which encodes the microtubule-associated protein tau, is the most prominent risk factor within the 17q21.31 region.<sup>8-10</sup> In addition, recent functional studies using multiple parallel reporter assays coupled to CRISPR interference (CRISPRi) have identified other risk genes in this locus, including *KANSL1* and *PLEKHM1*.<sup>11</sup>

The 17q21.31 is one of the most structurally complex regions in the human genome, featuring multiple rearrangements throughout the evolutionary history. At least 10 structural forms within 17q21.31 can be characterized by H1 and H2 along with three large duplications (ie,  $\alpha$ ,  $\beta$ ,  $\gamma$ ; Figure S1B in Data S1).<sup>12,13</sup> However, the impact of these structural forms and copy number variations (CNVs) on PSP risk has not been systematically assessed. To assess the impact of these structural forms and CNVs on PSP risk, the copy numbers of  $\alpha$ ,  $\beta$ , and  $\gamma$  and structural forms of 17q21.31 were called from whole genome sequencing (WGS) data (Figure S1C in Data S1). Case-control analysis was performed to identify CNVs significantly associated with PSP and single nucleus RNA-seq analysis was employed to evaluate the regulatory role of CNVs on gene expression.

## Methods

### Study Subjects

All study subjects and WGS data are available on The National Institute on Aging Genetics of Alzheimer's Disease Data Storage Site (NIAGADS)<sup>14</sup> under Alzheimer's Disease Sequencing Project (ADSP) Umbrella NG00067.v7.<sup>15</sup> All human subjects provided informed consent. We inferred the ancestry of subjects by GRAF-pop (Version 1.0, <https://github.com/ncbi/graf>)<sup>16</sup> and selected 4618 subjects (1797 cases and 2821 controls) of European ancestry for analysis. WGS were performed at 30× coverage (Table S1 in Data S2).

Among 4618 samples, we filtered 183 samples with abnormally low reads mapped (aligned read depth <1.7×) to  $\alpha$ ,  $\beta$ , or  $\gamma$  region (Figure S2 in Data S1) and 10 samples with high genotyping missing rate (>0.05). Next, 244 related samples inferred by KING (Version 2.3.1, <https://www.kingrelatedness.com/>)<sup>17</sup> (duplicates, monozygotic twins, parent-offsprings, full-siblings, and second-degree relatives) were removed while retaining one sample from each related group. We used the 238-base pair (bp) deletion between exons 9 and 10 of *MAPT*<sup>18</sup> to determine the H1 and H2 haplotypes of each sample. The genotype calls of the 238-bp deletion were obtained from our previous structural variant work.<sup>19</sup> Some 75 subjects were removed due to missing or failed genotype of the

238-bp deletion. Given the specification of H1/H2 genotype, determined by the 238-bp deletion, and the copy numbers of  $\alpha$ ,  $\beta$ , and  $\gamma$ , we can ascertain the 10 structural forms (Figure S1B in Data S1) in each individual. We removed 30 individuals (Figure S3 in Data S1) since their structural forms could not be decided based on the copy numbers of  $\alpha$ ,  $\beta$ , and  $\gamma$ . This discordance might be due to subjects carrying undiscovered structural forms or genotyping errors on the copy numbers of  $\alpha$ ,  $\beta$ , and  $\gamma$ .

As a result, 4076 subjects (Table 1;  $N_{\text{PSP}} = 1684$ ,  $N_{\text{control}} = 2392$ ) remained for statistical analyses in this study. Among them, 1684 PSP cases and 145 controls were sourced from the PSP-NIH-CurePSP-Tau, PSP-CurePSP-Tau, PSP-UCLA, and AMPAD-MAYO cohorts included in ADSP (NG0067.v7), while an additional 2247 controls were drawn from other ADSP cohorts (Table S2 in Data S2). Detailed information about each cohort is available through NIAGADS.<sup>14</sup> Of the 1684 individuals diagnosed with PSP, 1386 were autopsy-confirmed. Clinical diagnosis criteria are outlined in the Supplementary Methods in Data S1. Age was missing for 1130 PSP cases as autopsy-confirmed cases determined at brain banks did not always have the age of symptom onset when brain tissue was sent from outside the brain bank's health system. The mean age of onset for PSP cases was 68.03 years and the mean age at the last visit for controls was 81.04 years (Table 1).

### Determine the Copy Number of $\alpha$ , $\beta$ , and $\gamma$ and Structural Forms of 17q21.31

The genomic coordinates on HG38 for  $\alpha$  (chr17:46,135,415–46,289,349),  $\beta$  (chr17:46,087,894–46,356,512), and  $\gamma$  (chr17:46,289,349–46,707,123) were obtained from two previous studies<sup>12,13</sup> (Figure S1A in Data S1). Segmental duplications can introduce mapping challenges and thus inaccurate calling of the number of copies.<sup>20-22</sup> To address this, we removed segmental duplicated regions inside the  $\alpha$ ,  $\beta$ , and  $\gamma$  (Figure S4 in Data S1) when calculating aligned read depth. Subsequently, the copy numbers of  $\alpha$ ,  $\beta$ , and  $\gamma$  were obtained based on the aligned read depth on chr17:46,135,415–46,203,287, chr17:46,106,189–46,135,415, and chr17:46,356,512–46,489,410/chr17:46,565,081–46,707,123, respectively. Copies of  $\alpha$ ,  $\beta$ , and  $\gamma$  were genotyped by assessing aligned read depth within each 1 kb bin on the specified regions using CNVpytor (Version 1.3.1, <https://github.com/abyzovlab/CNVpytor>).<sup>23</sup> Then, we employed K-means<sup>24</sup> to assign an integer copy number for  $\alpha$ ,  $\beta$ , and  $\gamma$  for the 4076 individuals. Each individual was found to have up to six copies of  $\alpha$  or  $\beta$  and up to eight copies of  $\gamma$  (Figure S1 in Data S1). On the H1 background, the  $\beta$  region, which includes  $\alpha$ , can duplicate up to four copies, whereas on the H2 background, only

**TABLE 1** Characteristics of progressive supranuclear palsy cases and controls

Characteristic	Overall (N = 4076)	PSP (N = 1684)	Control (N = 2392)
Age, years (SD) <sup>a</sup>	78.49 (8.50)	68.03 (8.17)	81.04 (6.37)
Sex, n (%)			
Female	2168 (53.19)	739 (43.88)	1429 (59.74)
Male	1908 (46.81)	945 (56.12)	963 (40.26)
H1/H2 status, n (%) <sup>b</sup>			
H1H1	2958 (72.57)	1511 (89.73)	1447 (60.49)
H1H2	975 (23.92)	168 (9.98)	807 (33.74)
H2H2	143 (3.51)	5 (0.30)	138 (5.77)
Structural forms of 17q21.31, n (%) <sup>c</sup>			
H1β1γ1	2446 (30.00)	1097 (32.57)	1349 (28.20)
H1β1γ2	1552 (19.04)	739 (21.94)	813 (16.99)
H1β1γ3	987 (12.11)	496 (14.73)	491 (10.26)
H1β1γ4	126 (1.55)	65 (1.93)	61 (1.28)
H1β2γ1	1716 (21.05)	774 (22.98)	942 (19.69)
H1β3γ1	64 (0.79)	19 (0.56)	45 (0.94)
H2α1γ1	7 (0.09)	1 (0.03)	6 (0.13)
H2α1γ2	99 (1.21)	14 (0.42)	85 (1.78)
H2α2γ1	33 (0.40)	2 (0.06)	31 (0.65)
H2α2γ2	1122 (13.76)	161 (4.78)	961 (20.09)

Abbreviations: PSP, progressive supranuclear palsy; SD, standard deviation.

<sup>a</sup>1130 PSP cases and 111 controls have missing age. Age for PSP refers to the age at disease onset, while age for controls indicates the age at last visit.

<sup>b</sup>H1/H2 status was determined by the genotype of a 238-bp H2 tagging deletion.<sup>5</sup>

<sup>c</sup>Structural forms of 17q21.31 were inferred by the H1/H2 status and the copy numbers of  $\alpha$ ,  $\beta$ , and  $\gamma$  (see [Methods](#)).

the  $\alpha$  region duplicates, with a maximum of two copies of  $\gamma$  (Figure S1 in Data S1).

To validate the copy numbers of  $\alpha$ ,  $\beta$ , and  $\gamma$  called from WGS, 65 samples were genotyped using TaqMan CNV assay. For  $\alpha$  and  $\beta$ , we utilized the same TaqMan primer, given that  $\beta$  shares largely the same region with  $\alpha$  and has the same copy number in H1 haplotypes. To assess the accuracy of  $\beta$  copy number calls from WGS, we focused on 60 of the 65 samples with an H1/H1 genotype, as  $\beta$  is not duplicated in H2 haplotypes. Overall, the copy number of  $\alpha$ ,  $\beta$ , or  $\gamma$  inferred by aligned read depth from WGS were highly consistent ( $\alpha$ ,  $R = 0.87$ ;  $\beta$ ,  $R = 0.85$ ;  $\gamma$ ,  $R = 0.96$ ) with that from TaqMan assay (Figure S5 in Data S1). Notably, for high-confident calls from the TaqMan assay: all  $\gamma$  copy numbers matched those obtained from WGS; only two individuals showed discrepancies between WGS and TaqMan assay in  $\alpha$  and  $\beta$  copy numbers, including one case with an improbable single copy of both  $\alpha$  and  $\beta$  detected by TaqMan. The experimental procedure is detailed in the Supplementary Methods in Data S1.

For approximately 60% of the samples, only one combination of the structural forms (Figure S1B in Data S1) was possible based on the H1 and H2 genotypes, determined by the 238-bp deletion, and the copy numbers of  $\alpha$ ,  $\beta$ , and  $\gamma$ . For the remainder of the samples, multiple haplotypic combinations were possible. The expectation-maximization (EM) algorithm<sup>12</sup> (Supplementary Methods in Data S1) were employed to infer the two structural forms of 17q21.31 in each individual. The allele frequency of each structural form of 17q21.31 after EM convergence are shown in Figure S1B in Data S1. Overall, H2 $\alpha$ 2 $\gamma$ 2 dominates the structural forms of H2 while several structural forms of H1 (H1 $\beta$ 1 $\gamma$ 1, H1 $\beta$ 1 $\gamma$ 2, H1 $\beta$ 1 $\gamma$ 3, and H1 $\beta$ 2 $\gamma$ 1) showed an allele frequency >10%.

### Genetic Analysis of MAPT Sub-Haplotypes and Structural Forms of 17q21.31

The six single nucleotide variants (SNVs) (rs1467967, rs242557, rs3785883, rs2471738, rs8070723, and rs7521)<sup>25-27</sup> on MAPT were employed to define the



26 *MAPT* sub-haplotypes (Table S3 in Data S2). We phased the six SNVs with other SNVs and indels in chr17:43,000,000–48,000,000 to determine the *MAPT* sub-haplotypes. The SNV genotypes for the study subjects were called in our previous work.<sup>28</sup> Variants were removed if they were monomorphic, did not pass variant quality score recalibration, had an average read depth  $\geq 500$ , or if all calls had  $DP < 10$  and  $GQ < 20$ . Individual calls with a  $DP < 10$  or  $GQ < 20$  were set to missing. Then, common variants ( $MAF > 0.01$ ) with  $0.25 < ABHet < 0.75$  were phased using SHAPEIT4<sup>29</sup> (Version 4.2.2) with default parameters.

To phase the structural forms of 17q21.31 together with *MAPT* sub-haplotypes, we encoded the copy numbers of  $\alpha$ ,  $\beta$ , and  $\gamma$  as multi-allelic CNVs by a series of surrogate bi-allelic markers with 0/1 alleles<sup>12</sup> (Table S4 in Data S2). Then, SHAPEIT4<sup>29</sup> (Version 4.2.2, <https://odelaneau.github.io/shapeit4/>) with default parameters were used for phasing the copy numbers of  $\alpha$ ,  $\beta$ , and  $\gamma$  together with SNVs/indels. SNVs and indels inside  $\alpha$ ,  $\beta$ , and  $\gamma$  regions (chr17:46,087,000–46,708,000) were not included when phasing. After phasing, we calculated the linkage disequilibrium (LD) between structural forms of 17q21.31 and *MAPT* sub-haplotypes.

### Association Analysis

Association analyses were performed for the 4076 individuals ( $N_{PSP} = 1684$ ,  $N_{control} = 2392$ ). For the association of the copy numbers of  $\alpha$ ,  $\beta$ , and  $\gamma$  with PSP, the default logistic regression model was adjusted for sex and principal components (PCs) 1–5. We also tested the models when the allele count of H2 was added as an additional covariate, as the  $\beta$  region can only duplicate in the H1 haplotype, the smaller  $\alpha$  region but not the entire  $\beta$  duplicates in the H2 haplotype, and the  $\gamma$  region usually duplicates only once in the H2 haplotype (Figure S1B in Data S1). Then, association analysis was performed separately for individuals with H1H1 and H1H2 genotypes. Individuals with the H2H2 genotype are imbalanced and with few cases (5 cases, 138 controls), therefore, statistical analysis for this subgroup was not included. To evaluate the association of the structural forms of 17q21.31 with PSP, each structural form with allele frequency  $>1\%$  was compared with the rest of structural forms using logistic regression model adjusting for sex and PCs 1–5.

To evaluate the association of *MAPT* sub-haplotypes with PSP, each *MAPT* sub-haplotypes with allele frequency  $>1\%$  was compared with the rest of sub-haplotypes (Table S5 in Data S2). Two logistic regression models were used: one adjusted for sex and PCs 1–5, and the other included H2 allele count as an additional covariate. All statistical analyses were performed using R (Version 4.2.1).<sup>30</sup>

### Bulk and Single-Nucleus RNA-Seq Analysis

We used RNA-seq data from Mayo RNA-seq study<sup>31–33</sup> and snRNA-seq data from the Religious Order Study and the Rush Memory and Aging Project (ROSMAP).<sup>34</sup> To calculate the association between CNVs and gene expression, we only included overlapping samples in Mayo RNS-seq data ( $N = 211$ , Table S6 in Data S2) and ROSMAP snRNA-seq data ( $N = 276$ , Table S7 in Data S2) that had available WGS data from ADSP. For bulk RNA-seq, 191 individuals with RNA extracted from cerebellum and 189 individuals with RNA extracted from temporal cortex were used. Library preparation was performed by the TruSeq RNA Sample Prep Kit V2 (Illumina, San Diego, CA, USA). Illumina HiSeq 4000 sequencers (Illumina) were used for 100-bp paired-end sequencing. Read alignments were performed by SNAPR software (<https://github.com/PriceLab/snapr>)<sup>35</sup> and counts per million were calculated using edgeR.<sup>36</sup> Detailed methods for bulk RNA-seq can be found in previous studies.<sup>31–33</sup> For single-nucleus RNA-seq, 276 individuals with nucleus RNA from the dorsolateral prefrontal cortex were used. Single nuclei samples were isolated and profiled by the 10X Single Cell RNA-seq Platform using the Chromium Single Cell 3' Reagent Kits Version 3 (10X Genomics, Pleasanton, CA, USA). Libraries were aligned to the GRCh38 using Cell Ranger.<sup>37</sup> Pseudobulk gene expression for CUX2+ neurons, CUX2– neurons, inhibitory neurons, astrocytes, microglia, oligodendrocytes, oligodendrocytes precursor cells, and vascular cells were aggregated and log-normalized by Seurat (Version 5.0.3, <https://github.com/satijalab/seurat>).<sup>38</sup> Detailed methods for single-nucleus RNA-seq can be found in a previous study.<sup>34</sup>

To analyze the effect of  $\gamma$  on gene expression on 17q21.31 (42 genes, chr17:44,800,000–47,000,000), linear regression model adjusting for the allele count of H2, sex, and PCs 1–5 were employed and a Bonferroni-corrected  $P$  cutoff of 0.001 (0.05/42) was applied. The rs17660065<sup>12</sup> was used to tag H2 when the genotype for the 238-bp deletion<sup>18</sup> was unavailable. All statistical analyses were performed using R (Version 4.2.1).<sup>30</sup>

## Results

### Copy Number of $\gamma$ and PSP Risk

Our initial analysis focused on whether the copies of  $\alpha$ ,  $\beta$ , or  $\gamma$  are associated to the risk of PSP and if these associations are due to correlation with the H1 and H2 haplotypes. Adjusting for sex, PCs 1–5, and allele count (0, 1, or 2) of the H2 haplotype, we observed that copy number of  $\gamma$  was associated with 1.10-fold of increased risk of PSP (95% CI 1.04–1.17;  $P = 0.0018$ ; Table 2). As H2 $\alpha$ 2 $\gamma$ 2 is predominant in H2, the observed increased risk of  $\gamma$  was mainly due to variations in H1. Without adjusting for H2, the higher risk of PSP

**TABLE 2** Association between the copy numbers of  $\alpha$ ,  $\beta$ ,  $\gamma$  and risk of progressive supranuclear palsy

N = 4076 (PSP = 1684; Control = 2392)				
CNV	Default model (sex and five PCs)		+H2 in the model (sex, five PCs, and H2)	
	OR (95% CI)	P	OR (95% CI)	P
$\gamma$	0.98 (0.93–1.04)	0.60	1.10 (1.04–1.17)	0.0018*
$\beta$	1.14 (1.03–1.27)	0.011*	0.90 (0.81–1.01)	0.064
$\alpha$	0.57 (0.52–0.63)	$<2 \times 10^{-16}$ *	0.90 (0.81–1.00)	0.061
H1H1 carriers, N = 2958 (PSP = 1511; Control = 1447)				
CNV	H1H1 carriers, N = 2958 (PSP = 1511; Control = 1447)		H1H2 carriers, N = 975 (PSP = 168; Control = 807)	
	OR (95% CI)	P	OR (95% CI)	P
$\gamma$	1.08 (1.02–1.15)	0.014*	1.29 (1.06–1.56)	0.0096*
$\beta$	0.91 (0.81–1.02)	0.11	0.79 (0.53–1.15)	0.23
$\alpha$	0.91 (0.81–1.02)	0.11	0.81 (0.58–1.11)	0.20

Note: Association was not analyzed in H2H2 individuals as there were only five H2H2 PSP cases.

Abbreviations: CNV, copy number variation; CPM, counts per million PSP, progressive supranuclear palsy; PC, principal component; OR, odds ratio; CI, confidence interval.

\*Represents statistical significance ( $P < 0.05$ ).

conferred by  $\gamma$  would be obscured (OR = 0.98; 95% CI 0.93–1.04;  $P = 0.60$ ; Table 2) because H2 haplotype usually has two copies of  $\gamma$  and is protective against PSP (OR = 0.19; 95% CI, 0.16–0.22;  $P = 3.00 \times 10^{-79}$ ) while the most common structural forms of H1 (H1 $\beta$ 1 $\gamma$ 1, allele frequency = 30%) has only one copy of  $\gamma$ . Another way to eliminate the confounding effects of H1 and H2 is to conduct the association separately on individuals with H1H1, H1H2, or H2H2 genotypes. We found that each additional copy of  $\gamma$  was associated with 1.08-fold (95% CI 1.02–1.15;  $P = 0.014$ ) of increased risk of PSP in H1H1 individuals and 1.29-fold (95% CI 1.06–1.56;  $P = 0.0096$ ) of increased risk of PSP in H1H2 individuals (Table 2; Figure S6 in Data S1). Among H2H2 individuals, who could have two, three, or four copies of  $\gamma$ , all five PSP cases in our data had four copies of  $\gamma$ . Therefore, association analysis was not possible due to insufficient samples in this group.

For  $\alpha$  and  $\beta$ , only under the regression model without adjusting H1 and H2, we observed statistically significant association with PSP (Table 2). However, the observed significance mainly arises from their correlation with the H1 and H2 haplotypes, ie, the increased copies (usually two copies) of  $\alpha$  and the absence of  $\beta$  duplication in the H2 haplotype. The association, adjusting for sex, PCs 1–5, and allele count (0, 1, or 2) of the H2 haplotype, shows no significant association for the copy numbers of  $\alpha$  (OR = 0.9; 95% CI 0.81–1.00;  $P = 0.061$ ) and  $\beta$  (OR = 0.9; 95% CI 0.81–1.01;  $P = 0.064$ ) with PSP (Table 2). Although individuals with more copies of  $\alpha$  and  $\beta$  showed slightly lower odds ratio (OR) for PSP (Table 2).

### Structural Forms of 17q21.31 and PSP Risk

For a further analysis, we investigated the structural forms of 17q21.31, characterized by the  $\alpha$ ,  $\beta$ , and  $\gamma$  duplications along with H1/H2, and their impact on PSP risk. We tested seven structural forms of 17q21.31 with allele frequency  $>0.01$  (Table 3). On the H1 background, the OR for PSP increases from 1.21 (95% CI 1.10–1.33;  $P = 5.47 \times 10^{-5}$ ) for H1 $\beta$ 1 $\gamma$ 1 to 1.57 (95% CI 1.10–2.26;  $P = 1.35 \times 10^{-2}$ ) for H1 $\beta$ 1 $\gamma$ 4 as the copy number of  $\gamma$  increases from one copy to four copies (Table 3). With an additional copy of  $\beta$ , H1 $\beta$ 2 $\gamma$ 1 (OR = 1.24; 95% CI 1.11–1.38;  $P = 1.87 \times 10^{-4}$ ) displayed similar risk of PSP compared with H1 $\beta$ 1 $\gamma$ 1 (OR = 1.21; 95% CI 1.10–1.33;  $P = 5.47 \times 10^{-5}$ ). This finding reaffirmed that the copy number of  $\gamma$  was associated with increased risk of PSP, and  $\beta$  was not associated with the risk of PSP (Table 2; Figure S6 in Data S1). On the H2 background, it was not practical to evaluate the effect of  $\gamma$  as H2 $\alpha$ 2 $\gamma$ 2 dominates (Figure S1B in Data S1).

### Copy Number of $\gamma$ and MAPT Sub-Haplotypes

Besides the 10 structural forms, there are 26 MAPT sub-haplotypes (Table S3 in Data S2) based on six tagging SNVs<sup>25–27</sup> representing the smaller LD structure in MAPT gene (~150 kb). We observed the association with the risk of PSP in H1c (OR = 1.79; 95% CI 1.58–2.04;  $P = 1.84 \times 10^{-19}$ ), H1d (OR = 1.52; 95% CI 1.29–1.79;  $P = 3.89 \times 10^{-7}$ ), and H1o (OR = 2.88; 95% CI 2.15–3.89;  $P = 2.77 \times 10^{-12}$ ) (Table S5 in Data S2). H1g (OR = 1.46; 95% CI 1.07–1.98;  $P = 0.016$ ) and H1h (OR = 1.36; 95% CI 1.10–1.69;  $P = 0.0053$ ) were nominal significant in our analysis

**TABLE 3** Structural forms of 17q21.31 and the risk of progressive supranuclear palsy

Structural form	Frequency (%)		OR (95% CI)	P
	PSP (N = 1684)	Control (N = 2392)		
H1β1γ1	32.57	28.20	1.21 (1.10–1.33)	$5.47 \times 10^{-5}$
H1β1γ2	21.94	16.99	1.29 (1.16–1.43)	$1.35 \times 10^{-6}$
H1β1γ3	14.73	10.26	1.45 (1.27–1.65)	$3.94 \times 10^{-8}$
H1β1γ4	1.93	1.28	1.57 (1.10–2.26)	$1.35 \times 10^{-2}$
H1β2γ1	22.98	19.69	1.24 (1.11–1.38)	$1.87 \times 10^{-4}$
H2α1γ2	0.42	1.78	0.23 (0.12–0.40)	$5.94 \times 10^{-7}$
H2α2γ2	4.78	20.09	0.19 (0.16–0.23)	$<2 \times 10^{-16}$

Note: Haplotypes in less than 1% of individuals were excluded.

OR and P value were from logistic regression adjusting for PCs 1–5 and sex.

Abbreviations: OR, odds ratio; CI, confidence interval; PSP, progressive supranuclear palsy; PC, principal component.

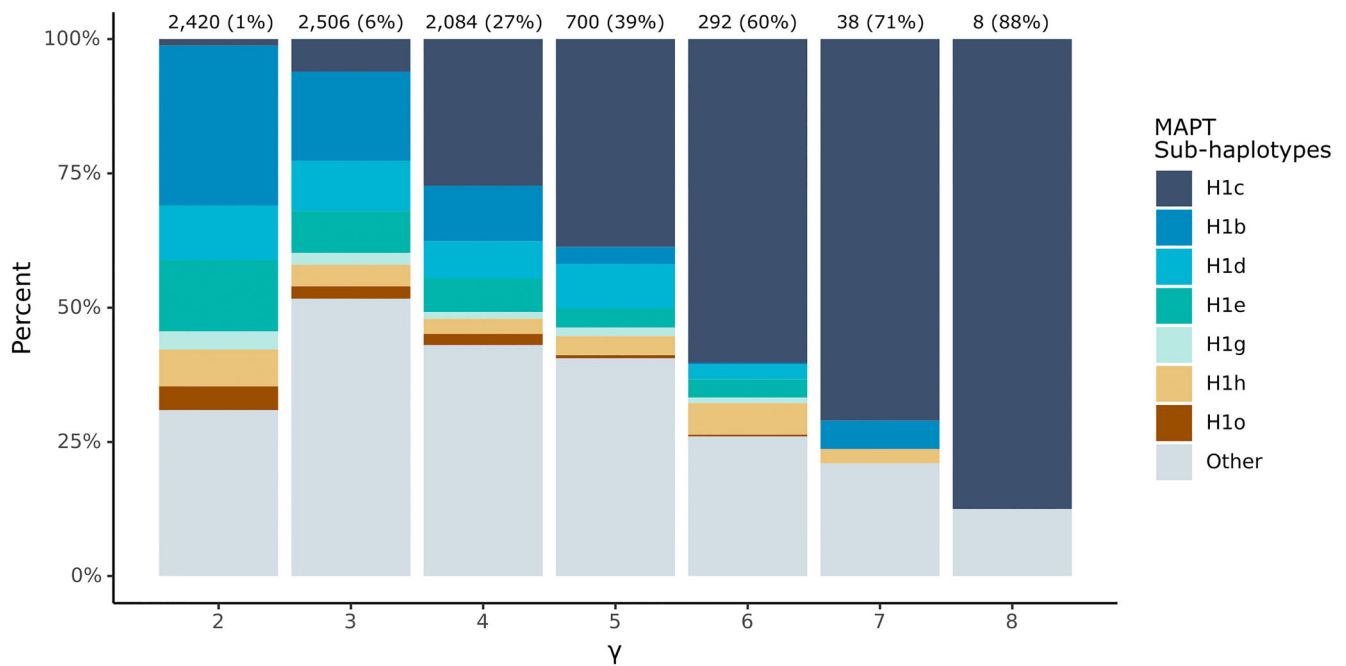
(Table S5 in Data S2). As the observed increased risk of those H1 sub-haplotypes could be due to the protective effect of H2, we performed additional tests adjusting for the allele count of H2. Despite the observed lower OR, H1c (OR = 1.40; 95% CI 1.22–1.59;  $P = 6.78 \times 10^{-7}$ ) and H1o (OR = 2.37; 95% CI 1.75–3.24;  $P = 4.32 \times 10^{-8}$ ) remained significant (Table S5 in Data S2). This confirmed the previous genome-wide association study (GWAS) findings that the H1c tagging SNV rs242557 still contributes to the risk of PSP after controlling for H1/H2.<sup>4,25</sup> Moreover, individuals with H1b showed a lower risk of PSP compared with other H1 sub-haplotypes when the allele count of H2 was adjusted in the regression model (OR = 0.79; 95% CI 0.70–0.90;  $P = 4.10 \times 10^{-4}$ ) (Table S5 in Data S2). These results further refined the association between H1 and PSP through the H1 sub-haplotypes.

In line with the increased risk of PSP in individuals carrying H1c and extra copies of  $\gamma$ , we observed an association between  $\gamma$  and H1c. The proportion of H1c increased from 1% in individuals with two copies of  $\gamma$  to 88% in individuals with eight copies of  $\gamma$  (Fig. 1). Furthermore, 96% of H1c sub-haplotypes corresponded to structural forms of 17q21.31 with more than one copy of  $\gamma$  (H1β1γ2, H1β1γ3, and H1β1γ4) (Figure S7 in Data S1). When compared with structural forms of 17q21.31 with exactly one copy of  $\gamma$  (H1β1γ1 and H1β2γ1), structural forms with additional copies of  $\gamma$  (H1β1γ2, H1β1γ3, and H1β1γ4) were more likely to be H1c or other MAPT sub-haplotypes associated with increased risk of PSP (ie, H1o, H1d, H1g, and H1g) (Figure S7 in Data S1). We then phased the CNVs (Table S4 in Data S2; Methods) together with SNVs to examine the LD between structural forms of 17q21.31 and MAPT sub-haplotypes. Two structural forms were in LD ( $R^2 > 0.1$ ) with MAPT sub-haplotypes (Table S8 in Data S2): H1β1γ3 was in LD with H1c ( $R^2 = 0.31$ ) with 70% of H1β1γ3 being H1c and H1β2γ1 was in

LD with H1b ( $R^2 = 0.29$ ) with 56% of H1β2γ1 being H1b (Figure S7 in Data S1).

### Copy Number of $\gamma$ and Gene Expression on 17q21.31

Finally, we examined the function impact of  $\gamma$  duplication on gene expression (Fig. 2A). Based on RNA-seq of the cerebellum, we observed that the expression of three genes located on  $\gamma$  region, ie, *ARL17B* ( $\beta = 0.63$ ;  $P = 1.16 \times 10^{-20}$ ), *LRRC37A* ( $\beta = 0.48$ ;  $P = 4.21 \times 10^{-14}$ ), and *NSFP1* ( $\beta = 0.81$ ;  $P = 4.31 \times 10^{-47}$ ) showed the strongest correlation with the copy number of  $\gamma$  (Fig. 2B; Figure S8A in Data S1; Table S9 in Data S2). This increased expression with higher  $\gamma$  copy numbers was also observed from RNA-seq of the temporal cortex (Fig. 2C; Figure S8B in Data S1; Table S9 in Data S2). We also found higher expression of *LRRC37A2* accompanying  $\gamma$  duplication in the temporal cortex ( $\beta = 0.30$ ;  $P = 1.56 \times 10^{-9}$ ) but not the cerebellum ( $\beta = 0.07$ ;  $P = 0.16$ ). Further analysis of single-nucleus RNA-seq (snRNA) of cells from the dorsolateral prefrontal cortex revealed that the association between the higher expression of *LRRC37A/LRRC37A2* and the increased copy number of  $\gamma$  was mainly driven by neuronal cells (Fig. 2D,E; Table S10 in Data S2). Specifically, the association between *LRRC37A* expression and the copy number  $\gamma$  was not significant ( $P > 0.001$ ) in astrocytes, microglia, oligodendroglia, and vascular cells while it was strongly presented in CUX2+ ( $\beta = 0.70$ ;  $P = 1.67 \times 10^{-54}$ ), CUX2- ( $\beta = 0.60$ ;  $P = 5.25 \times 10^{-40}$ ), and inhibitory neurons ( $\beta = 0.63$ ;  $P = 2.77 \times 10^{-47}$ ) (Table S10 in Data S2). For *LRRC37A2*, the increased copy of  $\gamma$  not only strongly up-regulated its expression in CUX2+ ( $\beta = 0.56$ ;  $P = 2.72 \times 10^{-50}$ ), CUX2- ( $\beta = 0.46$ ;  $P = 2.96 \times 10^{-40}$ ), and inhibitory neurons ( $\beta = 0.58$ ;  $P = 1.52 \times 10^{-54}$ ) but also down-regulated its



**FIG. 1.** The association between the copy number of  $\gamma$  and MAPT sub-haplotypes. The number of haplotypes ( $2 \times$  the number of individuals) are shown on each bar. The percentage of H1c is shown in brackets. The MAPT sub-haplotypes on H1 that were associated with the risk of progressive supranuclear palsy or have an allele frequency  $>0.05$  were color coded. All the other MAPT sub-haplotypes were included in the 'Other' category. The color information: H1c (#45526C), H1b (#2B8CBE), H1d (#4EB3D3), H1e (#5AB4AC), H1g (#C7EAE5), H1h (#DFC27D), H1o (#8C510A), and Other (#D6DCE5). [Color figure can be viewed at [wileyonlinelibrary.com](https://onlinelibrary.wiley.com/doi/10.1002/mds.25010)] [Color figure can be viewed at [wileyonlinelibrary.com](https://onlinelibrary.wiley.com/doi/10.1002/mds.25010)]

expression in astrocytes ( $\beta = -0.17$ ;  $P = 3.54 \times 10^{-4}$ ) and oligodendroglia ( $\beta = -0.21$ ;  $P = 2.37 \times 10^{-4}$ ) (Table S10 in Data S2). The over-expression of *LRRC37A* in HeLa cells could cause deformation of plasma membrane shape and the generation of filopodia-like protrusions, followed by apoptosis.<sup>39</sup> This suggests that the cell type-specific up-regulation of *LRRC37A/LRRC37A2* by  $\gamma$  duplication might contribute to the neurodegeneration in PSP. In addition to genes on the  $\gamma$  region, we also observed decreased expression of *KANSL1* associated with increased  $\gamma$  duplications in both bulk RNA-seq and snRNA-seq data (Fig. 2B,C; Tables S7 and S8 in Data S2).

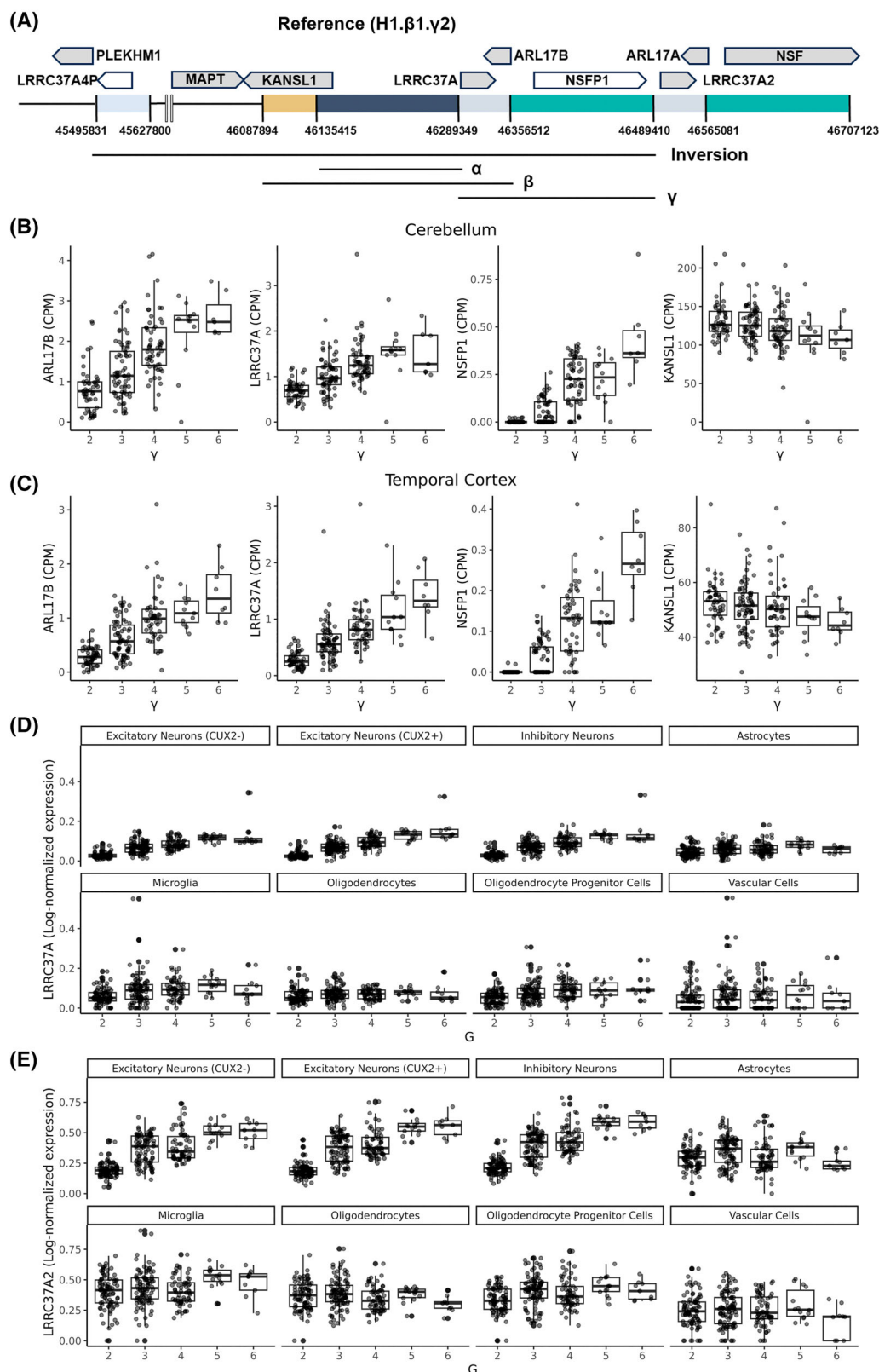
## Discussion

In summary, we evaluated the association of the structural forms of 17q21.31, characterized by large duplications  $\alpha$ ,  $\beta$ , and  $\gamma$  along with H1/H2 haplotype with the risk PSP. We found that the copy number of  $\gamma$  was associated with increased risk of PSP and structural forms with additional  $\gamma$  copies (ie, H1 $\beta$ 1 $\gamma$ 2, H1 $\beta$ 1 $\gamma$ 3, and H1 $\beta$ 1 $\gamma$ 4) exhibited a higher OR for PSP compared with H1 $\beta$ 1 $\gamma$ 1. This aligns with the observation that individuals with additional copies of  $\gamma$  tended to carry MAPT sub-haplotypes with a higher risk of PSP, such as H1c.

We assessed the association between H1c,  $\gamma$ , and PSP risk, adjusting for sex, PCs 1–5, and H2 allele count.

Individuals with more  $\gamma$  copies, such as  $>5$  copies (OR = 1.58; 95% CI 1.13–2.22;  $P = 7.45 \times 10^{-3}$ ),  $>6$  copies (OR = 2.61; 95% CI 1.07–7.34;  $P = 4.7 \times 10^{-2}$ ), and  $>7$  copies (4 individuals, 3 with PSP), showed a higher risk for PSP compared with H1c (OR = 1.40). Notably, individuals carrying at least one H1c allele and more than five copies of  $\gamma$  (N = 141; 72 of whom are H1c heterozygotes) demonstrated a PSP risk (OR = 1.88; 95% CI 1.30–2.74;  $P = 8.85 \times 10^{-4}$ ) equivalent to that of H1c homozygotes (N = 104; OR = 1.88; 95% CI 1.24–2.92;  $P = 3.74 \times 10^{-3}$ ). However, due to their strong correlation, H1c remained significant (OR = 1.43; 95% CI 1.19–1.71;  $P = 1.04 \times 10^{-4}$ ) and  $\gamma$  did not reach significance (OR = 0.99; 95% CI 0.91–1.07;  $P = 0.74$ ) under the same regression model. This suggests several possible scenarios: (1) the increased risk associated with H1c is due to  $\gamma$  combined with other unknown factors; (2) H1c is a causal factor, and  $\gamma$  is irrelevant; or (3) another hidden collider variable may be driving the association. The first scenario is more plausible from a genomic perspective, as H1c is inferred by LD structure using SNVs, which likely capture structural changes in 17q21.31, including the additional  $\gamma$  copies. Further studies with larger sample sizes are needed to clarify the causal relationship between  $\gamma$  and H1c, as well as to explore the impact of extreme  $\gamma$  values and the co-occurrence of H1c with elevated  $\gamma$  copy numbers.





**FIG. 2.** The association between the copy number of  $\gamma$  and gene expression. **(A)** Schematic plot of gene locations at 17q.21.31. **(B)** Gene expression values for three genes on the  $\gamma$  duplication. Total RNA was isolated from the cerebellum of 191 samples. **(C)** Gene expression values for three genes on the  $\gamma$  duplication. Total RNA was isolated from the temporal cortex of 189 samples. **(D–E)** *LRRC37A/LRRC37A2* pseudobulk expression for different cell types in dorsolateral prefrontal cortex stratified by the number of  $\gamma$  duplication. Pseudobulk counts were log-normalized using AggregateExpression function from Seurat.<sup>38</sup> CPM, counts per million. [Color figure can be viewed at [wileyonlinelibrary.com](http://wileyonlinelibrary.com)]

Bulk RNA-seq of the cerebellum and temporal cortex revealed higher expression of *ARL17B*, *LRRC37A*, *LRRC37A2*, and *NSFP1* and lower expression of *KANSL1* in individuals with more copies of  $\gamma$  (Table S9 in Data S2). Notably, *LRRC37A2* and *KANSL1* are located outside the  $\gamma$  region, suggesting that their altered expression is likely driven by the gain of enhancers or three-dimensional chromatin structure changes accompanying the  $\gamma$  duplication.<sup>11,40</sup> snRNA-seq of the dorsolateral prefrontal cortex analysis revealed  $\gamma$  duplication primarily up-regulates *LRRC37A/LRRC37A2* in neuronal cells, down-regulates *KANSL1* across all cell types, and upregulates *ARL17B* in microglia (Table S10 in Data S2). For *ARL17B*, a nominally significant ( $P < 0.05$ ) association with  $\gamma$  duplication was observed in neuronal cells using snRNA-seq (Table S10 in Data S2). In snRNA-seq, CellRanger<sup>37</sup> was employed for read alignment and used an abridged version of Ensembl annotations. Therefore, most pseudogenes, including *NSFP1*, were removed, which might shift counts towards the normal genes and explain the observed increased *NSF* expression associated with  $\gamma$  duplication in inhibitory/CUX2+ neurons and oligodendrocyte precursor cells (Table S10 in Data S2).

From bulk RNA-seq, we also observed significantly lower expression of *ARL17A* and higher expression of *FAM215B* accompanying increased copy number of  $\gamma$  (Table S9 in Data S2). However, similar expression changes were not observed across different cell types in snRNA-seq (Table S10 in Data S2), potentially reflecting differences in gene expression profiles between the cerebellum and temporal cortex (bulk RNA-seq) versus the dorsolateral prefrontal cortex (snRNA-seq). It is also important to note that both bulk and single-cell RNA-seq in this study utilized poly(A) selection, which targets mRNA with polyadenylation and may not fully capture expression changes of lncRNAs and pseudogenes (such as *FAM215B* and *NSFP1* on  $\gamma$  duplication).<sup>41,42</sup> To more accurately assess the expression of these genes, future studies based on rRNA depletion methods without poly(A) selection are necessary.

Age is a recognized risk factor for PSP, with the condition typically affecting individuals in their 60s.<sup>43</sup> However, age was not included as a covariate in the regression model due to missing data for more than half of the PSP cases (Table 1). To evaluate the potential impact of age on our analysis, we used 2835 individuals with available age data and found no significant associations between age and the copy number of  $\alpha$ ,  $\beta$ , or  $\gamma$  ( $P > 0.05$ ) after adjusting for H2 allele count, sex, and the first five PCs. Nonetheless, as more PSP cases with available age data become accessible, it will be important to reassess the influence of age on our findings to ensure the robustness of our conclusions.

Variants within the H1/H2 haplotypes likely contribute to PSP risk by interacting with *MAPT*, the gene that

encodes tau and is directly linked to PSP pathology. Consequently, it is essential to understand how structural forms of 17q21.31, including changes in  $\gamma$  duplications, might alter the regulatory landscape in this region and impact *MAPT* function and PSP risk. There is already evidence suggesting that genes on the  $\gamma$  region play a significant role in regulating *MAPT* function. For instance, Radford and colleagues<sup>44</sup> identified *NSF* as a p-Tau interactor using a proteomic approach that combines antibody-mediated biotinylation and mass spectrometry. Rogers and colleagues<sup>40</sup> reported multiple regulatory elements on genes at 17q21.31, including those on  $\gamma$  (*LRRC37A*, *ARL17B*, and *NSFP1*), supported by ATAC-seq, H3K27ac, and CTCF ChIP-seq data. CRISPR interference experiments further demonstrated that these regulatory elements could influence multiple genes within the H1 and H2 haplotypes, such as *MAPT*.<sup>40</sup> In addition, Hi-C analyses have revealed that *FMNL1*, located more than 650 kb upstream of the *MAPT* promoter, may interact with *MAPT* as well.<sup>40</sup> Together, these findings suggest a complex network of gene interactions within the 17q21.31 region. In future studies, it is important to perform additional functional studies to explore how these structural forms of 17q21.31 affect the complex regulatory dynamics and *MAPT* function, thereby influencing PSP risk. ■

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AMP-AD (sa000011) data: Mayo RNAseq Study—Study data were provided by the following sources: The Mayo Clinic Alzheimer's Disease Genetic Studies, led by Dr. Nilufer Ertekin-Taner and Dr. Steven G. Younkin, Mayo Clinic, Jacksonville, FL using samples from the Mayo Clinic Study of Aging, the Mayo Clinic Alzheimer's Disease Research Center, and the Mayo Clinic Brain Bank. Data collection was supported through funding by the National Institute on Aging (NIA) grants P50 AG016574, R01 AG032990, U01 AG046139, R01 AG018023, U01 AG006576, U01 AG006786, R01 AG025711, R01 AG017216, R01 AG003949, National Institute of Neurological Disorders and Stroke (NINDS) grant R01 NS080820, CurePSP Foundation, and support from the Mayo Foundation. Study data includes samples collected through the Sun Health Research Institute Brain and Body Donation Program of Sun City, Arizona. The Brain and Body Donation Program is supported by the NINDS (U24 NS072026 National Brain and Tissue Resource for Parkinson's Disease and Related Disorders), the NIA (P30 AG19610 Arizona Alzheimer's Disease Core Center), the Arizona Department of Health Services (contract 211002, Arizona Alzheimer's Research Center), the Arizona Biomedical Research Commission (contracts 4001, 0011, 05-901, and 1001 to the Arizona Parkinson's Disease Consortium) and The Michael J. Fox Foundation for Parkinson's Research.

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## Data Availability Statement

The whole genome sequencing data and phenotypic information for all the PSP cases and controls can be accessed through the National Institute on Aging

Genetics of Alzheimer's Disease Data Storage Site (NIAGADS, <https://www.niagads.org>). Copy number calls of  $\alpha$ ,  $\beta$ , and  $\gamma$ , structural forms of 17q21.31, and MAPT sub-haplotypes for the study subjects will be available through the NIAGADS. Bulk RNA-seq data from temporal cortex and cerebellum can be accessed through AD Knowledge Portal (<https://www.synapse.org/#!Synapse:syn20818651>). Single-nucleus RNA-seq data from dorsolateral prefrontal cortex can be accessed through AD Knowledge Portal (<https://www.synapse.org/#!Synapse:syn31512863>).

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## APPENDIX

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## Supporting Data

Additional Supporting Information may be found in the online version of this article at the publisher's web-site.